UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

| | | SECONTIES EXCHANGE ACT OF 1934 | |
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| | Date of re | eport (Date of earliest event reported): Novembe | er 16, 2017 |
| | | Codexis, Inc. | |
| | | (Exact name of Registrant as Specified in its Charter) | |
| | Delaware | 001-34705 | 71-0872999 |
| | (State or other jurisdiction of incorporation) | (Commission File Number) | (I.R.S. Employer Identification No.) |
| | | 200 Penobscot Drive Redwood City, CA 94063 (Address of Principal Executive Offices) (Zip Code) | |
| | | (650) 421-8100 (Registrant's telephone number, including area code) | |
| | | Not Applicable (Former Name or Former Address, if Changed Since Last Report) | |
| Check the appropria General Instruction | | s intended to simultaneously satisfy the filing obligation of the | registrant under any of the following provisions (see |
| | Written communication pursuant to Rule 425 under the Securities Act (17 CFR 230.425) | | |
| | Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12) | | |
| | Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b)) | | |
| | Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) | | |
| | nge Act of 1934 (§240.12b-2 of this | ging growth company as defined in Rule 405 of the Securities chapter). | Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of |
| | th company, indicate by check mark s provided pursuant to Section 13(a) | if the registrant has elected not to use the extended transition p of the Exchange Act. \Box | period for complying with any new or revised financial |
| | | | |

Item 5.02 Departure of Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers

On November 16, 2017, the Compensation Committee (the "Compensation Committee") of the Board of Directors of Codexis, Inc. (the "Company") approved the Company entering into letter agreements with each of John Nicols, the Company's President and Chief Executive Officer, Gordon Sangster, the Company's Senior Vice President and Chief Financial Officer, James Lalonde, the Company's Senior Vice President, Research & Development, and Michael Aldridge, the Company's Senior Vice President, Corporate & Strategic Development. The letter agreements amend Mr. Nicols' employment agreement and the change in control severance agreements of Messrs. Sangster and Aldridge and Dr. Lalonde to clarify that in the event of a change in control of the Company, performance under outstanding performance-based options would be determined as follows: (i) if the change in control is consummated prior to the completion of the applicable performance period, performance would be deemed achieved at 100% of target level; and (ii) if the change in control is consummated on or after the completion of the performance period, performance would be deemed achieved at the level determined by the Compensation Committee based on actual performance.

The foregoing description of the letter agreements does not purport to be complete and is qualified in its entirety by reference to the text thereof, the forms of which will be filed as an exhibit to the Company's Annual Report on Form 10-K for the year ending December 31, 2017.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: November 17, 2017 CODEXIS, INC.

By: <u>/s/ Gordon Sangster</u> Name: Gordon Sangster

Title: Senior Vice President and Chief Financial Officer